

Sector 8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph P. Fernando et al.

Title: EXTENSIBLE OBJECT MODEL

Docket No.: 777.229US1

Filed: January 29, 1999

Examiner:



Serial No.: 09/240,406

Due Date: April 19, 1999

Group Art Unit: 2771

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

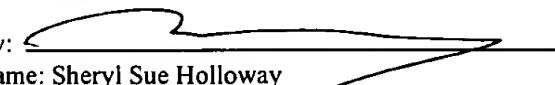
We are transmitting herewith the attached:

- An Assignment of the invention to Microsoft Corporation (2 pgs.) and Recordation Form Cover Sheet (1 pg.).
- A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27.
- A signed Combined Declaration and Power of Attorney (3 pgs.).
- A check in the amount of \$ _____ to cover the Extension Fee.
- A check in the amount of \$130.00 to cover the Missing Parts Fee.
- A check in the amount of \$1,216.00 to cover the Basic Filing Fee and Additional Claims Fee.
- A check in the amount of \$40.00 to cover the Assignment Recording Fee.
- Form PTO-1533 (1pg.)
- Communication Re: Missing Parts (1 pg.)
- A return postcard.
- Other: _____.

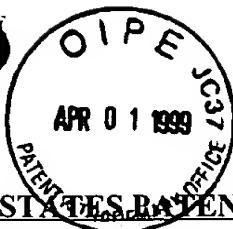
Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 26 day of March, 1999.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: 
Name: Sheryl Sue Holloway
Reg. No. 37,850
SSH:CMG:af

S/N 09/240,406



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph P. Fernando et al.

Examiner: Unknown

Serial No.: 09/240,406

Group Art Unit: 2771

Filed: January 29, 1999

Docket: 777.229US1

Title: EXTENSIBLE OBJECT MODEL

COMMUNICATION RE: MISSING PARTS

Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$1,216.00 to cover the large entity basic filing fee.

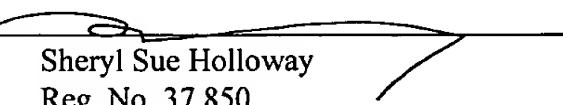
Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6939.

Respectfully submitted,

JOSEPH P. FERNANDO ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6939

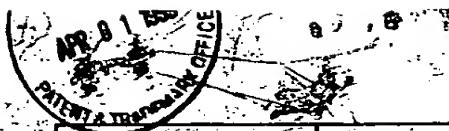
Date MARCH 25, 1999 By 

Sheryl Sue Holloway
Reg. No. 37,850
SSH:CMG:af

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231, on MAR 25, 1999.

Date of Deposit





Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE

197240-106 D1/25/99 FERNANDO

777-229US1

SCHWEIGMAN, LINDBERG, WOESSNER & ELLIOTT
P. O. BOX 2938
MINNEAPOLIS MN 55402

NOT ASSIGNED

2771

DATE MAILED:

02/19/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) non-small entity is \$ 1211.

1. The statutory basic filing fee is:

missing

insufficient

Applicant must submit \$ 70 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ 456 including any multiple dependent claim fees, are required.

\$ 313 for 8 independent claims over 3.

\$ 144 for 8 dependent claims over 20.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unexecuted.

does not cover the newly submitted items.

does not identify the application to which it applies.

does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts".

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202